

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/975,492	10/11/2001	Eugene Wolbers	10906-007	9686
7590 10/04/2003		EXAMINER		
Steven L. Oberholtzer			WILLIAMS, ERIC M	
BRINKS HOFER GILSON & LIONE P.O. Box 10395		ART UNIT	PAPER NUMBER	
Chicago, IL	50610		3681	
			DATE MAILED: 10/04/200	3

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No.  Office Action Summary  Examiner  Eric M Williams  3681  The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.	7					
Office Action Summary  Examiner  Eric M Williams  3681  The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM	<del>-</del>					
Eric M Williams 3681  The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM						
Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM						
<ul> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).</li> <li>Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>						
1)⊠ Responsive to communication(s) filed on <u>25 August 2003</u> .						
2a) This action is <b>FINAL</b> . 2b) This action is non-final.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. <b>Disposition of Claims</b>						
4)⊠ Claim(s) 1,16,17,39, and 42-44 is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6) Claim(s) is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12) The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) All b) Some * c) None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application	1).					
a) $\square$ The translation of the foreign language provisional application has been received. 15) $\square$ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.						
Attachment(s)						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)  4) Interview Summary (PTO-413) Paper No(s)  5) Notice of Informal Patent Application (PTO-152)  6) Other:						

Application/Control Number: 09/975,492 Page 2

Art Unit: 3681

## **DETAILED ACTION**

1. This action is in response to the papers filed 08/25/2003 for serial number 09/975,492.

## Claim Rejections - 35 USC § 112

2. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 16 and 42 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

In claims 1 and 39 Applicant recites, "an *oil groove*... to retain a lubricant."

Claims 16 and 42, which dependent from claims 1 and 39 respectively, recite, "an oil groove includes an *o-ring*." According to the Remarks submitted 02-25-2003 (page 5 and 6) and presented on page 17 paragraph [0058] lines 11-17 of the specification, "The present invention can be practiced without the presence of the o-ring 290, wherein the groove will act as a reservoir for retaining a lubricant." The oil groove, therefore, functions as a reservoir for retaining lubricant when an o-ring is *not* present, not when an o-ring is present as recited in claims 16, and 42.

Application/Control Number: 09/975,492 Page 3

Art Unit: 3681

## Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claim 1 ands 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lonne ('371) in view of Smith ('569).

Lonne discloses a clutch release bearing (Fig. 2) with a bearing carrier Fig. 1 (1), a bearing assembly (2), a stationary race (5), a rotatable race (3), and a plurality of antifriction elements (4), with an aligning ring (14), and the front face of the aligning ring defining a plane normal to the axis of the bearing carrier, and the rotatable race has a spherical face and the aligning ring has a spherical face engaging the spherical face of the rotatable race (Fig. 2).

Lonne lacks specific disclosure of an oil groove extending radially about the rotatable race to retain a lubricant. Smith ('569) discloses a groove (26) between two relatively rotatable spherical members (18 and 28) for retaining a lubricant. It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the Lonne such that it has a groove between the two relatively rotatable members (the support ring and the aligning ring), in view of Thorson, to maintain a fluid tight seal for optimum lubricant effectiveness.

6. Claims 16 and 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lonne ('371) in view of Becker ('324).

Application/Control Number: 09/975,492

Art Unit: 3681

Lonne lacks the teaching of a groove with an o-ring. Becker discloses two spherical elements that are relatively rotatable (11, 10) with a groove and an o-ring (21) to retain lubricant. It would have been obvious to one of ordinary skill in the art at the time of this invention to modify Becker such that it had a groove with an o-ring, in view of Becker, to retain lubricant.

7. Claim 39 is rejected under 35 U.S.C. 103(a) as being unpatentable over Lonne ('371) in view of Smith et al. ('569), further in view of Ernst et al. ('215).

Lonne in view of Smith lacks any specific teaching of a clearance fit between the bearing assembly and the bearing carrier. Ernst (Fig. 1) discloses a clearance fit between the bearing assembly and the bearing carrier (s) to allow for limited radial movement of the bearing assembly. It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the bearing assembly and bearing carrier of Lonne such that there is a clearance fit therebetween, in view of Ernst, to allow for limited radial movement of the bearing assembly.

8. Claims 43 and 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lonne ('371) in view of Smith ('569) in view of Ernst et al ('215) as applied to claim 39 above, and further in view of Lassiaz ('049).

Lonne in view of Smith and Ernst discloses all the limitations of claims 43 and 44 including a sleeve (column 2, lines 32-35), but lacks any specific teaching of snap rings or spring washers to hold the components in axial position. Lassiaz discloses a snap ring, spring washer (46) used to hold axial positioning. It would have been obvious to one of ordinary skill in the art at the time of this invention to modify Lonne in view of

Art Unit: 3681

Page 5

Lassiaz, to hold the support sleeve and bearing assembly in axial position.

Response to Arguments

Thorson and Ernst, such that a snap ring, spring washer is employed, in further view of

9. Applicant's arguments with respect to claims 1, 16, 17, 39, 42, 43, and 44 have

been considered but are moot in view of the new ground(s) of rejection.

Conclusion

**10.** The prior art made of record and not relied upon is considered pertinent to

applicant's disclosure.

Brenner ('735) and Chambers, Sr. ('713) both disclose o-ring seals in a groove.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Eric M Williams whose telephone number is 703-305-

0607. The examiner can normally be reached on Mon. - Fri. from 7:30am - 5:00pm.

**11.** If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Charles A Marmor can be reached on 703-308-0830.

Any inquiry of a general nature or relating to the status of this application or

proceeding should be directed to the receptionist whose telephone number is 703-308-

1113.

**EMW** 

CHARLES A. MARMOR

anles aMaran 9/29/03

APT HAIT 368/